I. Overview

This course introduces graduate students to core issues concerning qualitative research methods and methodology. In particular, it is designed to push students to think about methods while putting together their own dissertation prospectus. The core aims are three-fold: to generate an awareness of the underlying logic of various methods used in qualitative research; to explore the tradeoffs involved when selecting between approaches; and to afford students hands-on experience early in their graduate studies that they can draw on as they work on their dissertations.

This course is designed as a graduate level introduction to qualitative methods with a substantive focus drawn from the sub-fields of Comparative Politics and International Relations. This course is necessarily selective and is not exhaustive. For example, we do not directly take on issues of counterfactual reasoning or the particularities of area studies, and we do not focus directly on survey research. It is up to students to seek out further literatures and expand beyond the confines of this introductory course in designing their own research.

II. Learning Objectives

By the end of this course, students will:

- Be able to link qualitative methodologies to wider theoretical and conceptual debates;
- Be familiar with a number of specific research methods including interview research, participant observation, case studies, and the use of archival sources;
- Understand the constraints and tradeoffs among different methods;
- Be able to read works in the social sciences with an eye to the methodology and methods employed by authors, and;
- Be able to confidently make use of diverse of methods in their own research.
III. Grade Distribution

1. Class Participation (including Weekly Responses): 20%
2. Critical Essay: 15%
3. Field Assignment: 20%
4. Research Proposal Presentation and Summary: 15%
5. Research Proposal: 30%

IV. Requirements

1. Class Participation: Students are expected to attend having read and reflected upon all the required readings each week in advance of our Seminars. Students are required to engage actively and thoughtfully in discussions each week, and to provide one written Weekly Response to the week’s required readings each Seminar (collectively 20%).

Each week, as your Instructor, I will provide a brief survey of the readings and/or some broader debates to commence our discussion and I will guide discussion as needed during the Seminar. However, it is expected that student-led and student-dominated discussion will characterize our Seminars. Please bring the required reading materials, your notes, and your discussion questions (see below) to each Seminar.

Weekly Responses should reflect on all the required readings for the week. They need not be terribly detailed, but should highlight the core arguments and some key examples or illustrations used across the required readings. Moreover, they must include three (3) to six (6) critical discussion questions students will raise during the Seminar. Responses must be one (1) page single-spaced (1 inch margins, size 12 font) excluding the discussion questions which can be on the back of the single page. Responses are due at the conclusion of each Seminar. No late Responses are accepted for any reason. Weekly Responses are not formally assessed. They serve as the foundation for student participation. Participation assessment will reflect the quality and consistency of contributions and the quality of all Weekly Responses.

2. Critical Essay: Students are required to write a short (6-8 page, double-spaced) critical essay (15%). Do not exceed 8 double-spaced pages. The essay requires engagement with required and recommended readings from a number of weeks to compare, contrast, and evaluate the possibility of reconciling the Positivist and Interpretivist traditions in social science research. Specific details will be provided in Seminar 1. The Essay will be evaluated on the strength of your argumentative thesis, the logic and evidence used to support it, your mastery of a breadth of reading material, and the quality of your writing. The Critical Essay is due in hardcopy at the start of Seminar 5.

3. Field Assignment: Choose from one (1) of the following assignment options (20%). Further details will be provided early in the semester. Field Assignments are due at the start of Seminar 12. Each option requires hands-on work and a formal analysis drawing on weekly required and recommended readings, as students see fit.
A. **Participant Observation**: attend a local event and observe closely for at least 3 hours (e.g. a DeKalb/Sycamore town hall meeting, a university association function, a political rally, a social event, etc.). Ask yourself: what political and/or cultural practices and constructions did you witness? How to do assess meaning? Submit two items: (1) a detailed write-up of your field notes and (2) a 6-8 page analysis of your observations, including your rationale for investigating your event. Your analysis should articulate the expectations you had, the conclusions you draw from observations, and your reflections on the process of conducting participant observation.

B. **Interview**: Conduct a semi-structured interview with a person of your choice. This could be an ‘elite’ (e.g. politician, diplomat, industry expert, working professional, etc.) or ‘non-elite’ (e.g. protestor, working-class laborer, rural family, etc.). The interview should last at least 50 minutes and must be recorded (speak to me if recording is not possible). Submit three items: (1) a full, verbatim transcript of the interview (if possible), (2) a write-up of your field notes, and (3) a 5-7 page analysis of your interview. Your analysis should state the research interest (or question), the choices made in designing, preparing and soliciting the interview, your findings, and your assessment of the utility of your method and of the experience more generally.

C. **Discourse Analysis I**: Choose a body of written work (e.g. Congressional records, executive documents, a series of newspaper articles over a specific time period, a set of speeches, etc.). Conduct a discursive analysis of the language, metaphors, and symbols employed. Your analysis should be roughly 6-8 pages.

D. **Discourse Analysis II**: Choose a major paradigmatic book from International Relations or Comparative Politics (you may draw from another subfield with my approval in office hours). Write a discourse analysis of the work as you see fit. Your essay should be 6-8 pages and should offer an analysis of the text as well as reflections on the method itself.

E. **Archival Work**: If you are interested in conducting archival work, or an approximation thereof, please consult me on or before Week 3 to make arrangements. Approval will only be provided if sufficient archival material is readily available to you.

**Note**: Options A and B may require formal ethics approval through the IRB. This can take time. If you wish to choose options A or B, consult with me and visit or speak with the Office of Research Compliance and Integrity *prior* to Week 3. Please visit: [http://niu.edu/divresearch/compliance/human/index.shtml](http://niu.edu/divresearch/compliance/human/index.shtml) to start familiarizing yourself with the IRB requirements in advance of Week 3.

4. **Research Proposal Presentation and Summary**: Students are required to write and present a preliminary version of their Research Proposal in Seminar 13 or 14, as decided by lottery (collectively 15%). The Presentation should be roughly 20 minutes and also requires leading and engaging in a question-answer discussion period afterward with the class. It is expected that presenters will solicit feedback from their colleagues in the course and incorporate it into a revised Research Proposal (see below). The Presentation
(including handling of questions) is worth 7.5% of the course grade. Assessment will reflect the strength and cohesiveness of the proposal, the clarity of the presentation itself, the strength of response to questions, and the overall ability to present and lead a discussion.

Student presenters are required to provide a Summary (7.5%) of their preliminary Proposal to the class three (3) days in advance of their presentation (3–4 pages, double-spaced). Specific requirements and suggestions for the Presentation and Summary will be provided early in the semester.

5. Research Proposal: Students are required to submit a 12-20 page research proposal. This is intended as a means of drafting a doctoral, masters, or major research project. It should: (a) state your research question; (b) survey relevant literature for existing answers; (c) posit a well-developed research methodology; (d) articulate and defend your case selection; (e) discuss your preliminary hypotheses; and, (f) outline the particular methods you will employ as well as the utility and limitations of your approach. The finalized Research Proposal is due before 4pm seven (7) days after the Research Proposal Presentation date. Further details will be provided.

Note: Students should think about their proposed research early in the semester and begin to familiarize themselves with the relevant literature(s). While entirely preliminary, a well-drafted and cohesive research proposal for a major research project is expected at the end of this term.

V. Submission of Assignments

All course assignments are required in hardcopy and to be double-sided, stapled, and clearly presented. Student names, student numbers, course code, and Instructor name should be clearly visible on a title page or header, and page numbers are required. All submitted work must be clearly and formally cited and a bibliography provided (bibliography does not count towards page length restrictions), for all assignments except for Weekly Responses where no bibliographies are required. No assignment will be accepted by email, unless your Instructor formally asks for them (which may be the case with the Research Proposals) or unless it is agreed to in advance of the submission due to extenuating circumstances.

A late penalty of 4% per day (including weekends) will be levied up to five (5) days at which point the assignment will not be accepted and will receive a zero. Late assignments are due in hardcopy to the Department of Political Science and not by email. A late penalty will be applied as per the notation by Departmental staff.

Extensions and accommodations are possible for documented medical or family emergencies or when agreed to with me, well in advance of a due date. Wherever possible, speak to me as early as possible in the semester to discuss complications or requests for accommodation. Leniency may be possible. However, it is more likely weeks in advance of a due date than the week of or after a due date has passed. Note that no
accommodation is guaranteed and students are expected to plan ahead to complete all written work on or before the relevant due date. Also note that no late Weekly Responses are accepted for any reason.

VI. Course Materials

Please obtain (purchase, rent, borrow, appropriate, etc.) as soon as possible, the following course materials. They are available for purchase at the NIU Bookstore and a number of online retailers. They are also available in hardcopy and/or electronically from the Library. These are required texts and we will rely on them in full or in large part throughout the course:


The following texts are recommended for this course or for your own familiarization beyond. These readings offer useful introductory or complementary discussions to the required course materials and a number are used directly in our course:


VII. A Note on Seminar Readings

Weekly Seminar readings are divided into required and recommended readings in the below Seminar Plan (required readings are not labeled). The required readings are just that: required. These may include key theoretical discussions regarding each week’s topic and specific exemplars (especially those noted by * below). Students must have accessed, read, and reflected on them prior to each week’s discussion. Most required readings are drawn from journal sources that are available electronically through the NIU library or from the core texts noted above. Required readings that are not available electronically or are not within the core texts noted above will be left on reserve in the Library or provided electronically through the course Blackboard page, when copyright permits. It is up to students to ensure they access these readings in a timely and efficient manner. This may require coordination with other students in the course or accessing the readings well in advance of specific Seminars, so please do not leave readings to the last minute.

The recommended readings are suggestions for further reading. Many will help inform course assignments and students’ own research designs. Often, they include further and more detailed exemplars (again, noted with * below), which students can explore while developing their own research agenda.

VIII. Office Hours

Office Hours will be held weekly (as noted on page 1). These are drop-in times and all students are always welcome to attend to discuss anything related to the course, or anything else you feel that I could potentially help with. No RSVP is required. Students are encouraged to visit as often as they like. If you cannot meet during this period, please email me well in advance to arrange an alternative time, and I am sure I can accommodate your schedule.

IX. Email Contact

I will (try to) answer questions by email when appropriate. Please use the course code somewhere in the subject line and ensure you make use of your official NIU email address for all communications. Those two requirements help ensure that emails are received and read in a timely manner. A 24-48 hour turnaround time should be anticipated, so please do not leave questions to the last minute.

Substantive questions regarding course material and discussions or concerns or questions about the assignments are best discussed in Office Hours and not by email. Alternatively, please feel free to raise questions at the onset of our Seminars for the benefit of all your colleagues in the course. As a rule of thumb, if a question or a welcomed response is longer than three or so sentences, it is likely a topic to chat about in Office Hours or in our Seminars.
X. Our Space and Issues of Accessibility

Together, we will foster a comfortable, engaging, and accessible scholarly environment. All students should feel welcome to attend and speak freely in our Seminars and in Office Hours. To this end we will approach this course as colleagues, and we will treat each other with respect and dignity at all times.

NIU, and myself as your Instructor, are committed to making reasonable accommodations for persons with documented disabilities. If any disabilities may impact on coursework or other academic requirements, please notify both your Instructor and the Disability Resource Center (Tel. 815-753-1303) on the fourth floor of the Health Services Building as soon as possible, and within the first two weeks of the start of this course. If you would rather not speak to your Instructor, note that the Disability Resource Center can assist students in making appropriate accommodations with Instructors discretely.

If you have any concerns about the course or your ability to access or engage with the course material or our discussions — at any point throughout the semester — please also feel free to contact me by email or speak to me in person, whenever. I will happy to assist as best as I am able. I am also happy to raise any issues or concerns you may have on your behalf with the Department or NIU directly.

XI. Preferred Names and Pronouns

Class rosters and University data systems are provided to faculty with the student’s legal name and legal gender marker. As an NIU student, you are able to change how your preferred/proper name shows up on class rosters. This option is helpful for various student populations, including but not limited to: students who abbreviate their first name; students who use their middle name; international students; and transgender students. As your Instructor, I am committed to using your proper name and pronouns.

We will take time during our first class together to do introductions, at which point you can share with all members of our Seminar what name and pronouns you use, as you are comfortable. Additionally, if these change at any point during the semester, please let me know and we can develop a plan to share this information with others in a way that is safe for you.

Should you want to update your preferred/proper name, you can do so by looking at the following guidelines and frequently asked questions:
http://www.niu.edu/regrec/preferredname/index.shtml
http://www.niu.edu/regrec/preferredname/preferrednamefaq.shtml

XII. Academic Integrity

Students are expected to have read and to abide by NIU’s Student Code of Conduct (http://www.niu.edu/conduct/student-code-of-conduct).
This course will take academic misconduct seriously. All work submitted must be a students’ own in full. While graduate students should be entirely familiar with issues of academic (dis)honesty, please take NIU’s Online Tutorial on Academic Integrity to re-familiarize yourself if you have doubts or concerns (http://www.niu.edu/ai/students/) and/or speak to me in Office Hours.

Any student in this course found to have plagiarized (accidentally or otherwise) the work of another individual (including, but not limited to other students and from existing scholarship) will receive a failing grade in this course and may be subject to additional sanctions by the University. If you have any questions throughout the course relating to academic practices, academic integrity, and issues of plagiarism please speak to me and/or consult the links noted above.

**Note:** With the above in mind, please ensure that all drafts, preliminary work, and research notes, as well as all graded and returned course assignments, are retained until course grades are finalized by the Registrar. As your Instructor, I may request to review either draft or finalized material at any point during the course and/or discuss student assignments in person.

**XIII. Seminar Plan:**

<table>
<thead>
<tr>
<th>Seminar No.</th>
<th>Date</th>
<th>Topic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Seminar 1.</td>
<td>August 28</td>
<td>Introduction</td>
</tr>
<tr>
<td>Labour Day</td>
<td>September 4</td>
<td><strong>No Seminar</strong></td>
</tr>
<tr>
<td>Seminar 2.</td>
<td>September 11</td>
<td>What are Qualitative Methods?</td>
</tr>
<tr>
<td>Dr. Glas is MIA</td>
<td>September 18</td>
<td><strong>No Seminar</strong></td>
</tr>
<tr>
<td>Seminar 3.</td>
<td>September 25</td>
<td>The Positivist Tradition</td>
</tr>
<tr>
<td>Seminar 4.</td>
<td>October 2</td>
<td>The Interpretivist Tradition</td>
</tr>
<tr>
<td>Seminar 5.</td>
<td>October 9</td>
<td>Case Studies</td>
</tr>
<tr>
<td>Seminar 6.</td>
<td>October 16</td>
<td>Participant Observation and Ethnography</td>
</tr>
<tr>
<td>Seminar 7.</td>
<td>October 23</td>
<td>Interviewing</td>
</tr>
<tr>
<td>Seminar 8.</td>
<td>October 30</td>
<td>Archival Research and Historiography</td>
</tr>
<tr>
<td>Seminar 9.</td>
<td>November 6</td>
<td>Discourse Analysis</td>
</tr>
<tr>
<td>Seminar 10.</td>
<td>November 13</td>
<td>Critical Junctures, Temporality, and Path Dependence</td>
</tr>
<tr>
<td>Seminar 11.</td>
<td>November 20</td>
<td>Process Tracing</td>
</tr>
<tr>
<td>Seminar 12.</td>
<td>November 27</td>
<td>Mixed Methods</td>
</tr>
<tr>
<td>Seminar 13.</td>
<td>December 4</td>
<td><strong>Critical Essay Due</strong></td>
</tr>
<tr>
<td>Seminar 14.</td>
<td>December 11</td>
<td><strong>Field Assignment Due</strong></td>
</tr>
</tbody>
</table>

**Note:** topics and readings may be subject to change at the discretion of your Instructor. Any change to the required readings will be posted at least seven (7) days prior to the relevant Seminar. Any change in the above schedule (e.g. the cancellation or rescheduling of a Seminar) will be noted as soon as possible by your Instructor.
XIV. Detailed Seminar Plan

Seminar 1. Introduction


Please read the Syllabus in full!

Seminar 2. What are Qualitative Methods?


Recommended


Seminar 3. The Positivist Tradition

*Jessica Allina-Pisano (2009), “How to tell an axe murderer: an essay on ethnography, truth, and lies” in Political Ethnography (pp. 53-73).

Recommended


Seminar 4. The Interpretivist Tradition


Dvora Yanow, “Thinking Interpretively: Philosophical Presuppositions and the Human Sciences” in *Interpretation and Method* (pp. 5-26).

Dvora Yanow, “Neither Rigorous nor Objective? Interrogating Criteria for Knowledge Claims in Interpretive Science” in *Interpretation and Method* (pp. 67-88).


Recommended


Seminar 5. Case Studies


Recommended


*Tuong Vu (2010), *Paths to Development in Asia: South Korea, Vietnam, China, and Indonesia*. Cambridge: Cambridge University Press.


**Seminar 6. Participant Observation and Ethnography**


*Timothy Pachirat (2009), “The political in political ethnography: dispatches from the kill floor” in *Political Ethnography* (pp. 143-161).

*Review the Office of Research Compliance and Integrity’s “Human Subject Research” policies and guidelines and the related Institutional Review Board (IRB) process and forms (see: [http://niu.edu/divresearch/compliance/human/index.shtml](http://niu.edu/divresearch/compliance/human/index.shtml)).

**Recommended**


**Seminar 7. Interviewing**


**Recommended**


Seminar 8. Archival Research and Historiography


Clare Ginger (2006), “Interpretive content analysis: Stories and arguments in analytical documents” in Interpretation and Method (pp. 331-348)


Recommended


Seminar 9. Discourse Analysis


Recommended


*Jutta Weldes (1999), Constructing National Interests: The United States and the Cuban Missile Crisis Minneapolis: University of Minnesota Press.

Seminar 10. Thinking about Time: Critical Junctures, Temporality, and Path Dependence


Recommended


*Theda Skocpol (1979), *States and Social Revolutions: A Comparative Analysis of France, Russia, and China*. Cambridge: Cambridge University Press.

**Seminar 11. Thinking about Mechanisms: Process Tracing**


**Recommended**


**Seminar 12. Mixed Methods**

Sidney Tarrow (2010), “Bridging the Quantitative-Qualitative Divide” in Rethinking Social Inquiry (pp. 101-110).


**Recommended**


**Seminar 13. Student Presentations and Course Evaluations**

**Seminar 14. Student Presentations**